

AURORA PLACE, 88 PHILLIP STREET, SYDNEY NSW 2000, DX 117 SYDNEY

Company announcements office To

Facsimile 1300 135 638

ASX

Company Secretary

+61 8 9481 5950 Facsimile

Red 5 Limited

Henry Wong From

Facsimile +61 2 9921 8058

Email: henry.wong@minterellison.com

+61 2 9921 8536 Direct line HLW:SSE:20-6818439

Our Ref

Date

15 August 2013

Number of pages (including this one): 6

Subject

Notice of ceasing to be a substantial holder

Please find attached a notice of ceasing to be a substantial holder in relation to shares in Red 5 Limited from Van Eck Associates Corporation.

Regards

MINTER ELLISON

If you do not receive all pages please telephone +61 2 9921 8536

IMPORTANT - The contents of this facsimile may be privileged and confidential. Any unauthorised use of the contents is expressly prohibited. If you have received the document in error, please advise us by telephone (reverse charges) immediately and then shred the document. Thank you.

ME\_107826321\_1 (W2007)

### Form 605

Corporations Act 2001 Section, 671B

## Notice of ceasing to be a substantial holder

Tα	Company	Name/Scheme

Red 5 Limited

**ACWARSN** 

068 647 610

### 1. Details of substantial holder (1)

Name

Van Eck Associates Corporation (and its associates referred to in paragraph 4).

ACN/ARSN (if applicable)

N/A

The holder cassed to be a

substantial holder on

06/08/2013

The previous notice was given to the company on

25/12/2012

The previous notice was dated

24/12/2012

### 2. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3) in voling securities of the company or scheme, since the substantial holder was lest required to give a aubstantial holding notice to the company or scheme are as follows:

	Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration given in relation to change (5)	Class (6) and number of securities affected	Person's votes affected
ĺ		Van Eck Associates Corporation (VEAC)	See Annexure A			
Ī						

### 3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

g are the first of	
Name and ACN/ARSN (if applicable)	Nature of association
	,

### 4. Addresses

The addresses of persons named in this form are as follows:

or persona trained in this form are as ignores.	
Name	Address .
	335 Medison Avenue, New York, NY 10017
	335 Madison Avenue, New York, NY 10017
Van Eck Absolute Return Advisers, Inc.	335 Madison Avenue, New York, NY 10017

Signature

print name John J. Crimmins

capacity

Vice President

sign here

date

14/08/2013

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progra 2/2 16 July 2001

#### DIRECTIONS

- If there are a number of substantial holders with similar or related relevant interests (eg. o corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 4 of the form. (1)
- See the definition of "relevant interest" in sections 608 and 9719(7) of the Corporations Act 2001, (2)
- See the definition of "associato" in section 9 of the Corporations Act 2001, (3)
- (4) include details of:
  - any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 6718(4) applies, a copy (B) of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the (p) securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies),

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.

- Details of the consideration must include any and all benefits, moneys and other, that any person from whom a relevant interest was acquired has, or may, become antitied to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired. (5)
- The voting shares of a company constitute one class unless divided into separate classes. (6)
- Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice. (7)

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605 GUIDE page 1/1 13 March 2000

# **GUIDE**

## This guide does not form part of the prescribed form and is included by ASIC to assist you in completing and lodging form 605.

Signature

This form must be signed by either a director or a secretary of the substantial holder.

Lodging period

**Lodging Fee** 

Nμ

### Other forms to be completed

NII

### Additional information

- If additional space is required to complete a question, the information may be included on a separate piece of paper annexed to the form.
- This notice must be given to a listed company, or the responsible entity for a listed managed investment scheme. A copy of this notice must also be given to each rolevant securities exchange.
- The person must give a copy of this notice:
  - within 2 business days after they become sware of the information; or
  - by 9.30 am on the next trading day of the relevant accuritios exchange after they become aware of the information If:
    - a takeover bid is made for voting shares in the company or voting interests in the scheme; and
    - the person becomes aware of the information during the bid period. (B)

### Annexures

To make any annexure conform to the regulations, you must

- 1 use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides
- 2 show the corporation name and ACN or ARBN
- 3 number the pages consecutively
- print or type in BLOCK letters in dark blue or black link so that the document is clearly legible when photocopied
- 5 Identify the annexure with a mark such as A, B, C, etc
- endorse the annexure with the words:
- This is annexure (mark) of (number) pages reterred to in form (form number and live)
- sign and date the annexure.

The annexure must be algred by the same person(a) who signed the form,

Information in this guide is intended as a guide only. Please consult your accountant or solicitor for further advice.

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## This is Annexure A of 1 pages referred to in Form 604 -Notice of change of interests of substantial holder

Holder of relevant	Date of		Consideration	Consideration Non-	
interest	Acquisition/Disposal	B/S	Cash	cash	Number of Securities
GDXI	4/15/2013		\$ -	In-Kind	68,42° 36,22°
GDXU	4/16/2013	-	<u>\$</u>	In-Kind	28,518
GDXJ	4/17/2013		\$ -	In-Kind	1 111
GDXI	4/18/2013		\$ 59,389	\$ -	103,375
GDXI	4/22/2013		\$ =	In-Kind	16,304
GDXI	4/24/2013		\$ -	In-Kind	24,456
GDXJ	4/25/2013		\$ -	In-Kind	69,292
GDXJ	4/26/2013		\$ -	in-Kind	48,912
GDX)	4/29/2013		\$ -	in-Kind	20,380
GDXI	4/30/2013	\$	\$ -	In-Kind	32,600
GDXJ	5/1/2013	S	\$ -	In-Kind	4,075
GDXJ	5/7/2013	S	\$ -	In-Kind	16,308
GDXJ	5/8/2013	S	\$ -	In-Kind	16,313
GDXJ	5/9/2013	Š	\$ -	In-Kind	8,156
GDXJ	5/15/2013	5	\$	In-Kind	8,154
GDXJ	5/16/2013	S	\$	In-Kind	12,23
GDXJ	5/21/2013	В	\$	In-Kind	4,07
GDX)	5/30/2013		\$ 4	in-Kind	73,368
GDXJ	5/31/2013		\$ -	In-Kind	97,848
GDXJ	6/10/2013	5	\$ -	In-Kind	12,600
GDXJ	6/13/2013	S	\$ -	In-Kind	33,610
GDXJ	6/20/2013	Ś	5 -	in-Kind	92,48
GDXJ	6/25/2013	В	\$ -	In-Kind	3,739
GDXJ	6/27/2013		\$ -	In-Kind	37,44
GDXJ	6/28/2013		\$ -	In-Kind	52,41
GDXJ	7/2/2013		\$ -	In-Kind	59,88
GDXJ	7/3/2013		\$ -	In-Kind	29,93
GDXI	7/5/2013		\$ -	In-Kind	119,75
GDXJ	7/8/2013		\$ -	In-Kind	29,94
GDXJ	7/10/2013		\$ *	In-Kind	29,94
GDXJ	7/11/2013		\$ -	In-Kind	44,90
GDXJ	7/18/2013		\$ -	In-Kind	59,85
GDXJ	7/22/2013		5 -	In-Kind	29,92
GDXJ	7/26/2013		\$ -	In-Kind	164,53
GDXI	7/29/2013		\$ -	In-Kind	14,95
GDXJ	7/30/2013		\$ +	In-Kind	89,74
GDX)	8/2/2013		\$	In-Kind	14,95
GDXU	8/9/2013		\$ -	In-Kind	59,81
GDXJ	8/12/2013	Lancas	\$ -	In-Kind	44,85
GDXJ	8/13/2013		\$ -	In-Kind	134,64

In-Kind transactions result from GDXJ receiving a basket of securities (including Red 5 Ltd) in exchange for securities in GDXJ.

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## This is Annexure A of 1 pages referred to in Form 604 -Notice of change of interests of substantial holder

Holder of relevant	Date of		Consideration	Consideration Non-	
interest	Acquisition/Disposal	8/5	Cash	cash	Number of Securities
GDXI	4/15/2013		\$ -	In-Kind	68,42
GDXI	4/16/2013		\$ -	tn-Kind .	36,22
GDXI	4/17/2013		\$ -	In-Kind	28,51
GDXJ	4/18/2013	В	\$ 59,389		103,37
GDX)	4/22/2013		\$ -	In-Kind	16,30
GDXJ	4/24/2013		\$ -	In-Kind	24,45
GDXJ	4/25/2013	S	\$ -	in-Kind	69,29
GDXJ	4/26/2013	S	\$ -	In-Kind	48,91
GDXI	4/29/2013	S	\$	In-Kind	20,38
<b>GDXI</b>	4/30/2013	S	\$ #	In-Kind	32,60
GDXJ	5/1/2013	S	\$ -	In-Kind	4,07
GDXJ	5/7/2013	S	\$ -	In-Kind	16,30
GD)(J	5/8/2013	S	\$ -	In-Kind	16,33
GDXJ	5/9/2013	5	\$ -	In-Kind	8,15
GDXJ	5/15/2013	S	\$ -	In-Kind	8,15
GDXI	5/16/2013		\$ -	In-Kind	12,23
GDXJ	5/21/2013		\$ -	In-Kind	4,07
GDXI	5/30/2013		\$	In-Kind	73,30
GDXJ	5/31/2013	_	\$ -	In-Kind	97,84
GDXJ	6/10/2013		\$	In-Kind	12,60
GDXJ	6/13/2013		\$ .	In-Kind	33,67
GDXJ	6/20/2013		\$	In-Kind	92,48
GDXJ	6/25/2013		\$ -	In-Kind	3,73
GDXI	6/27/2013		\$ -	In-Kind	37,44
GDXJ	6/28/2013		\$ 4	In-Kind	52,43
GDXJ	7/2/2013		\$ -	In-Kind	59,88
GDXJ	7/3/2013		\$	tn-Kind	29,93
GDXJ	7/5/2013		\$ -	In-Kind	119,75
GDXJ	7/8/2013		\$ -	Jn-Kind	29,94
GDXJ	7/10/2013		\$ -	In-Kind	29,94
GDXJ	7/11/2013	<del>Milana and a control of the control</del>	\$ +	In-Kind	44,90
GDX)	7/18/2013		\$ -	In-Kind	59,85
GDXJ	7/22/2013		\$ -	In-Kind	29,92
GDXJ	7/26/2013		\$ *	In-Kind	164,53
GDXJ	7/29/2013		\$	Jn-Kind	14,9
GDXI	7/30/2013		\$ -	In-Kind	89,74
GDXJ	8/2/2013		\$ :	In-Kind	14,9!
GDXJ	8/9/2013		š -	In-Kind	59,83
GDXU	8/12/2013		\$ -	In-Kind	44,85
GDXJ	8/13/2013		\$	In-Kind	134,64

In-Kind transactions result from GDXI receiving a basket of securities (including Red 5 Ltd) in exchange for securities in GDXI.

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